

# ESTIMATION OF THE MAGNETIC FLUX EMERGENCE RATE IN THE QUIET SUN FROM SUNRISE DATA

H. N. SMITHA,<sup>1</sup> L. S. ANUSHA,<sup>1</sup> S. K. SOLANKI,<sup>1,2</sup> AND T. L. RIETHMÜLLER<sup>1</sup>

<sup>1</sup>Max-Planck-Institut für Sonnensystemforschung, Justus-von-Liebig-Weg 3, 37077 Göttingen, Germany  
 smitha@mps.mpg.de

<sup>2</sup>School of Space Research, Kyung Hee University, Yongin, Gyeonggi, 446-701, Republic of Korea

## ABSTRACT

The small-scale internetwork (IN) features are thought to be the major source of fresh magnetic flux in the quiet Sun. During its first science flight in 2009, the balloon-borne observatory SUNRISE captured images of the magnetic fields in the quiet Sun at a high spatial resolution. Using these data we measure the rate at which the IN features bring magnetic flux to the solar surface. In a previous paper it was found that the lowest magnetic flux in small-scale features detected using the SUNRISE observations is  $9 \times 10^{14}$  Mx. This is nearly an order of magnitude smaller than the smallest fluxes of features detected in observations from *Hinode* satellite. In this paper, we compute the flux emergence rate (FER) by accounting for such small fluxes, which was not possible before SUNRISE. By tracking the features with fluxes in the range  $10^{15} - 10^{18}$  Mx, we measure an FER of  $1100 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . The smaller features with fluxes  $\leq 10^{16}$  Mx are found to be the dominant contributors to the solar magnetic flux. The FER found here is an order of magnitude higher than the rate from *Hinode*, obtained with a similar feature tracking technique. A wider comparison with the literature shows, however, that the exact technique of determining the rate of the appearance of new flux can lead to results that differ by up to 2 orders of magnitude, even when applied to similar data. The causes of this discrepancy are discussed and first qualitative explanations proposed.

**Keywords:** Sun: atmosphere, Sun: magnetic fields, Sun: photosphere

## 1. INTRODUCTION

The quiet Sun covers most of the solar surface, in particular at activity minimum, but also plays an important role even during the active phase of the solar cycle. The magnetic field in the quiet Sun is constituted of the network (Sheeley 1967), internetwork (IN, Livingston & Harvey 1971, 1975), and the ephemeral regions (Harvey & Martin 1973). For an overview on the small-scale magnetic features, see Solanki (1993); de Wijn et al. (2009); Priest (2014); Wiegmann et al. (2014).

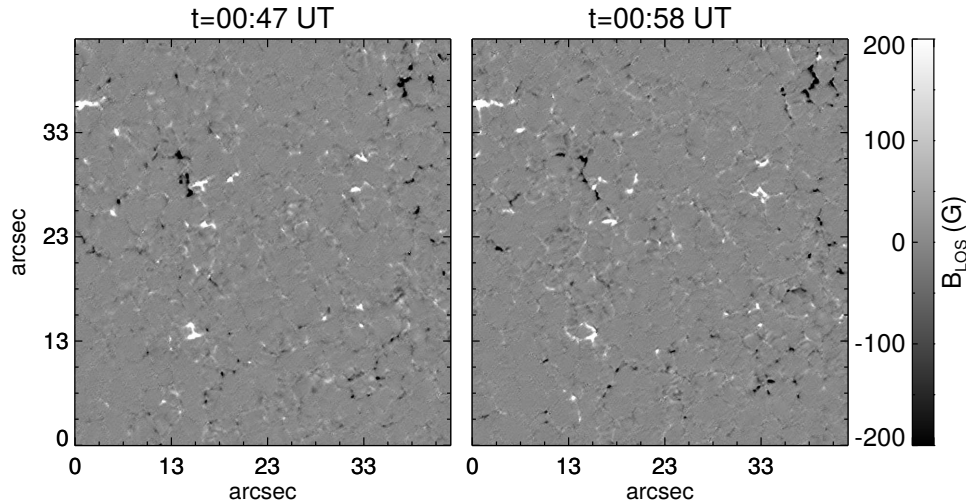
The IN features are observed within the supergranular cells and carry hecto-Gauss fields (Solanki et al. 1996; Khomenko et al. 2003; Martínez González et al. 2008b) although kilo-Gauss fields have also been observed in the IN (Lagg et al. 2010, 2016). They evolve as unipolar and bipolar features with typical lifetimes of less than 10 minutes (Zhou et al. 2010; Lamb et al. 2013; Anusha et al. 2016), i.e., they continuously bring new flux to the solar surface, either flux that has been freshly generated, or recycled. They carry fluxes  $\leq 10^{18}$  Mx, with the lower limit on the smallest flux decreasing with the increasing spatial resolution and polarimetric sensitivity

of the observing instruments, although the identification technique also plays an important role.

Ephemeral regions are bipolar magnetic features appearing within the supergranular cells carrying fluxes  $\approx 10^{19}$  Mx (Chae et al. 2001; Hagenaar et al. 2003) and are much longer lived compared to the IN features, with lifetimes of 3 – 4.4 hours (Title 2000; Hagenaar 2001). The ephemeral regions also bring new magnetic flux to the solar surface.

The network is more stable, with typical lifetimes of the network structure of a few hours to a day, although the individual kG magnetic elements within the network live for a much shorter time, as the entire flux within the network is exchanged within a period of 8–24 hr (Hagenaar et al. 2003; Gošić et al. 2014). The flux in the network is fed by ephemeral regions (Schrijver et al. 1997; Hagenaar 2001) and IN features (Gošić et al. 2014). The network features are found along the supergranular boundaries and carry fields of kilo-Gauss strength with a typical flux of  $10^{18}$  Mx (Wang et al. 1995).

The magnetic flux is produced by a dynamo, the location of which is currently the subject of debate, as



**Figure 1.** Two sample magnetograms recorded at  $t = 00:47$  UT and  $00:58$  UT on 2009 June 9 with the SUNRISE/IMaX instrument during its first science flight.

is whether there is only a single dynamo acting in the Sun (e.g., [Stenflo 2012](#)) or if there is a small-scale dynamo acting in addition to a global dynamo ([Petrovay & Szakaly 1993](#); [Cattaneo 1999](#); [Cattaneo & Hughes 2001](#); [Vögler & Schüssler 2007](#); [Schüssler & Vögler 2008](#); [Danilovic et al. 2010](#); [Buehler et al. 2013](#); [Hotta et al. 2015](#); [Karak & Brandenburg 2016](#)). In addition, it is unclear if all the magnetic flux appearing on the Sun is actually new flux produced by a dynamo, or possibly recycled flux transported under the surface to a new location, where it appears again (e.g., [Ploner et al. 2001](#)). This may be particularly important at the smallest scales.

An important parameter constraining the production of magnetic flux is the amount of magnetic flux appearing at the solar surface. In particular, the emergence of magnetic flux at very small scales in the quiet Sun provides a probe for a possible small-scale dynamo acting at or not very far below the solar surface. The deep minimum between solar cycles 23 and 24 offered a particularly good chance to study such flux emergence, as the long absence of almost any activity would suggest that most of the emerging flux is newly produced one and is not flux transported from decaying active regions to the quiet Sun (although the recycling of some flux from ephemeral regions cannot be ruled out).

The IN quiet Sun displays by far the largest magnetic flux emergence rate (FER). Already, [Zirin \(1987\)](#) pointed out that two orders of magnitude more flux appears in ephemeral regions than in active region, while the FER in the IN is another two orders of magnitude larger. This result is supported by more recent studies (e.g., [Socas-Navarro & Sánchez Almeida 2002](#); [de Wijn et al. 2009](#); [Parnell et al. 2009](#); [Thornton & Parnell 2011](#)). Given the huge emergence rate of the magnetic flux in the IN, it is of prime importance to measure the

amount of flux that is brought to the surface by these features.

The current estimates of the FER in the IN vary over a wide range, which include:  $10^{24}$  Mx day $^{-1}$  ([Zirin 1987](#)),  $3.7 \times 10^{24}$  Mx day $^{-1}$  ( $120$  Mx cm $^{-2}$  day $^{-1}$ , [Gošić et al. 2016](#)) and  $3.8 \times 10^{26}$  Mx day $^{-1}$  ([Zhou et al. 2013](#)). By considering all the magnetic features (small-scale features and active regions), [Thornton & Parnell \(2011\)](#) measure a global FER of  $3 \times 10^{25}$  Mx day $^{-1}$  ( $450$  Mx cm $^{-2}$  day $^{-1}$ ), while [Thornton \(2011\)](#) measures  $3.9 \times 10^{24}$  Mx day $^{-1}$  ( $64$  Mx cm $^{-2}$  day $^{-1}$ ), whereby almost all of this flux emerged in the form of small IN magnetic features. The FER depends on the observations and the method used to measure it. A detailed comparison of the FERs from different works is presented Section 4.3.

To estimate the FER, [Zirin \(1987\)](#) and [Thornton & Parnell \(2011\)](#) considered features with fluxes  $\geq 10^{16}$  Mx, while [Zhou et al. \(2013\)](#) and [Gošić et al. \(2016\)](#) included features with fluxes as low as  $6 \times 10^{15}$  Mx and  $6.5 \times 10^{15}$  Mx (M. Gošić, priv. comm.), respectively. However, with the launch of the balloon-borne SUNRISE observatory in 2009 ([Solanki et al. 2010](#); [Barthol et al. 2011](#); [Berkefeld et al. 2011](#); [Gandorfer et al. 2011](#)) carrying the Imaging Magnetograph eXperiment (IMaX, [Martínez Pillet et al. 2011](#)), it has now become possible to estimate the FER including the contribution of IN features with fluxes as low as  $9 \times 10^{14}$  Mx ([Anusha et al. 2016](#), hereafter referred to as LSA16). The IMaX instrument has provided unprecedented high-resolution magnetograms of the quiet Sun observed at  $5250$  Å. The high resolution is the main reason for the lower limiting flux. A detailed statistical analysis of the IN features observed in Stokes  $V$  recorded by SUNRISE/IMaX is carried out in LSA16. In the present paper we estimate the FER in the IN region using the same data.

In Section 2 we briefly describe the employed SUNRISE data. The IN features bringing flux to the solar surface that are considered in the estimation of FER are outlined in Section 3. The FER from SUNRISE are presented, discussed and compared with previously obtained results in Section 4 while our conclusions are presented in Section 5.

## 2. DATA

The data used here were obtained during the first science flight of SUNRISE described by Solanki et al. (2010). We consider 42 maps of the line-of-sight (LOS) magnetic field,  $B_{\text{LOS}}$ , obtained from sets of images in the four Stokes parameters recorded with the IMAx instrument between 00:36 and 00:59 UT on 2009 June 9 at the solar disk center, with a cadence of 33 s, a spatial resolution of  $0.''15 - 0.''18$  (plate scale is  $0.''054$  per pixel), and an effective field of view (FOV) of  $43'' \times 43''$  after phase diversity reconstruction. The data were reconstructed with a point spread function determined by in-flight phase diversity measurements to correct for the low-order aberrations of the telescope (defocus, coma, astigmatism, etc., see Martínez Pillet et al. 2011). The instrumental noise of the reconstructed data was  $3 \times 10^{-3}$  in units of continuum intensity. For identification of the features, spectral averaging was done which further reduced the noise to  $\sigma = 1.5 \times 10^{-3}$ . All features with signals above a  $2\sigma$  threshold, which corresponds to 12 G, were used (Martínez Pillet et al. 2011).

To measure the flux, we use  $B_{\text{LOS}}$  determined with the center-of-gravity (COG) method (Rees & Semel 1979; Orozco Suárez et al. 2010, LSA16). The inclination of the IN fields has been under debate, with several studies dedicated to measuring their angular distribution. By analysing the data from *Hinode*, Orozco Suárez et al. (2007); Lites et al. (2008) concluded the IN fields to be predominantly horizontal. However, using the same dataset, Ishikawa & Tsuneta (2011) found some of the IN fields to be vertical. Jafarzadeh et al. (2014) arrived at similar conclusions (vertical inclination) by analysing the magnetic bright points observed from the first flight of SUNRISE. Variations in the inclination of the IN fields with heliocentric angle ( $\mu$ ) has been reported by Orozco Suárez & Bellot Rubio (2012); Borrero & Kobel (2013); Stenflo (2013). Isotropic and quasi-isotropic distribution of the IN field inclinations is favoured by Martínez González et al. (2008a), using the Fe I  $1.56 \mu\text{m}$  infrared lines, and by Asensio Ramos (2009); Asensio Ramos & Martínez González (2014) using *Hinode* data. More recently, Danilovic et al. (2016) found the distribution of IN field inclination to be quasi-isotropic by applying 2D inversions on *Hinode* data and comparing them with 3D magnetohydrodynamic simulations. For a detailed review on this, see Borrero et al. (2015).

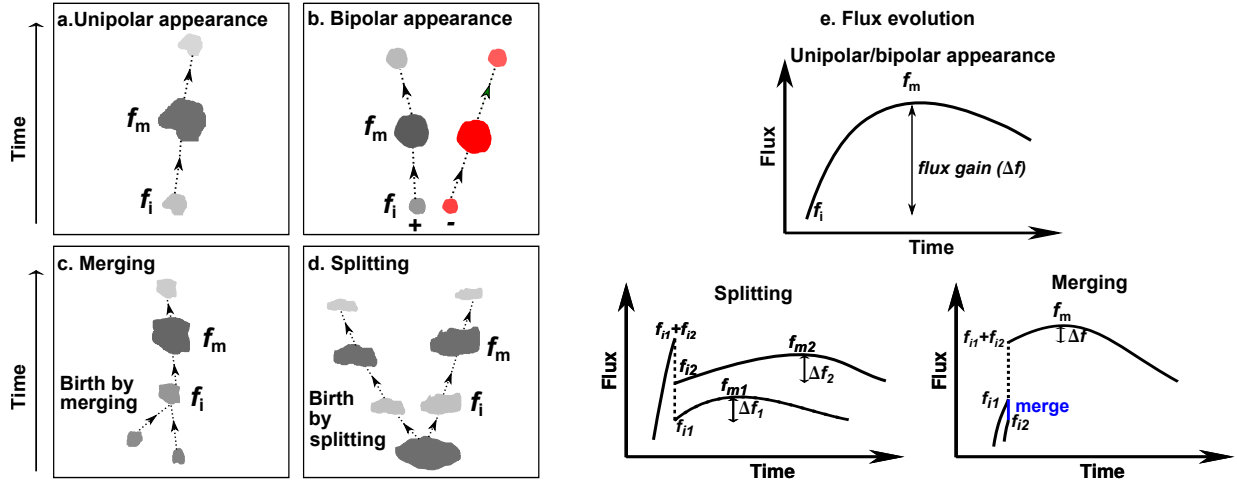
In the determination of the FER, we use  $B_{\text{LOS}}$  for consistency and for easier comparison with earlier studies on the FER. Also, the determination of the exact amount of flux carried in horizontal field features is non-trivial and requires estimates of the vertical thickness of these features and the variation of their field strength with height. In addition, if they are loop-like structures (as is suggested by local-dynamo simulations, e.g., Vögler & Schüssler 2007), then there is the danger of counting the flux multiple times if one or both of their footpoints happen to be resolved by the Sunrise data. We avoid this by considering only the vertical component of the magnetic field. It is likely that we miss the flux carried by unresolved magnetic loops by concentrating on Stokes  $V$ , but this problem is suffered by all previous studies of FER and should decrease as the spatial resolution of the observations is increased. With the SUNRISE I data analyzed here having the highest resolution, we expect them to see more of the flux in the footpoints of the very small-scale loops that appear as horizontal fields in *Hinode* and SUNRISE data (Danilovic et al. 2010).

The small-scale features were identified and tracked using the feature tracking code developed in LSA16. For the sake of completeness we summarize the most relevant results from LSA16 in the following. All the features covering at least 5 pixels were considered with Stokes  $V$  being larger than  $2\sigma$  in each pixel. To determine the flux per feature, the  $B_{\text{LOS}}$  averaged over the feature, denoted as  $\langle B_{\text{LOS}} \rangle$  was used.  $\langle B_{\text{LOS}} \rangle$  has values up to 200 G, even when the maximum field strength in the core of the feature reached kG values. A total of 50255 features of both polarities were identified. The sizes of the features varied from 5-1585 pixels, corresponding to an area range of  $\approx 8 \times 10^{-3} - 2.5 \text{ Mm}^2$ . The tracked features had lifetimes ranging from 0.55–13.2 minutes. The smallest detected flux of a feature was  $9 \times 10^{14} \text{ Mx}$  and the largest,  $2.5 \times 10^{18} \text{ Mx}$ .

At the time of the flight of SUNRISE in 2009 the Sun was extremely quiet, with no signs of activity on the solar disk. Two sample magnetograms at 00:47 UT and 00:58 UT are shown in Figure 1. Most of the features in these maps are part of the IN and in this paper, we determine the rate at which they bring flux to the solar surface.

## 3. PROCESSES INCREASING MAGNETIC FLUX AT THE SOLAR SURFACE

The different processes increasing the magnetic flux at the solar surface are schematically represented in Figure 2a – 2d. In the figure,  $f_i$  refers to the flux of the feature at its birth and  $f_m$  is the maximum flux that a feature attains over its lifetime. A typical evolution of the flux of a feature born by unipolar or bipolar appearances, and by splitting/merging is shown in Figure 2e



**Figure 2.** Panels a–d: Schematic representation of the different paths by which magnetic flux is brought to the solar surface and of its subsequent evolution. The quantities  $f_i$  and  $f_m$  are the instantaneous and maximum fluxes of a feature, respectively, where instantaneous means just after it appears. Panel e: Typical variation in the flux of a feature, born by unipolar and bipolar appearances (top) and born by splitting/merging (bottom), over time. The flux gained by them after birth, is  $\Delta f = f_m - f_i$ . The features born by splitting carry fluxes  $f_{i1,2}$  at birth and reach  $f_{m1,2}$  in the course of their lifetime, gaining flux  $\Delta f_{1,2}$  after birth.  $f_{i1} + f_{i2}$  is equal to the flux of the parent feature at the time of its splitting. The feature born by merging carries flux equal to the sum of the fluxes of the parent features  $f_{i1} + f_{i2}$ . The blue line indicates the merging of the two features.

(top and bottom, respectively). The gain in the flux of a feature after its birth is  $f_m - f_i$ . Magnetic flux at the surface increases through the following processes:

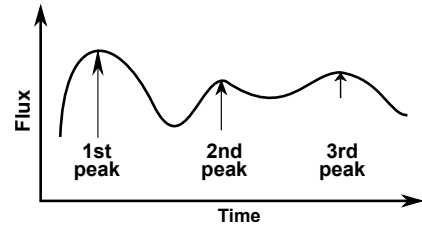
1. Unipolar appearance: The birth of an isolated feature with no spatial overlap with any of the existing features in the current and/or previous time frame (Figure 2a).
2. Bipolar appearance: Birth of bipolar features, with the two polarities closely spaced, and either appearing simultaneously or separated by a couple of time frames (referred to as time symmetric and asymmetric emergence in LSA16; see also Figure 2b).
3. Flux gained by features in the course of their lifetime: The gain in the flux of a feature in the course of its lifetime, i.e. the increase in flux between its birth and the time it reaches its maximum flux, before dying in one way or another, either by interacting with another feature, or by disappearing.

This gain can take place in features born in different ways, be it by growth, or through the merging or splitting of pre-existing features (Figures 2c, 2d and 2e).

Note that the bipolar appearance of magnetic flux is often referred to as ‘emergence’ in earlier papers including LSA16. However, the term ‘emergence’ in FER describes the appearance of new flux at the solar surface from all the three processes described above. To avoid confusion, we refer to the emergence of bipolar features as bipolar appearance. Of all the newly born features

over the entire time series, 19056 features were unique (for area ratio 10:1, see Section 4). Among them 48% (8728 features) were unipolar and 2% (365 features) were part of bipolar appearances. Features born by splitting constituted 38% (6718 features), and 12% (2226 features) were born by merging. The remaining 1019 features correspond to those alive in the first frame. A comparison of the rates of birth and death of the features by various processes for different area ratio criteria is given in Table 2 of LSA16.

In the FER estimations, the flux brought by the features born by unipolar and bipolar appearances is the maximum flux that they attain ( $f_m$ ) over their lifetime. In the case of features born by splitting or merging, the flux gained after birth is taken as the flux brought by them to the surface. This gain is the difference between their flux at birth  $f_i$  and the maximum flux they attain  $f_m$ , i.e.  $f_m - f_i$ .



**Figure 3.** Schematic representation of multiple peaks in the flux of a feature occurring in the course of its lifetime. In the FER estimations, we consider only the largest gain, i.e., flux increase during 1st peak in this example.

Our approach is conservative in the sense that if a feature reaches multiple peaks of flux in the course of its



**Table 1.** The instantaneous and maximum fluxes of the features, integrated over all features and time frames, in different processes measured in LSA16

Process	Instantaneous flux ( $f_i$ in Mx)	Maximum flux ( $f_m$ in Mx)	Flux gain ( $\Delta f = f_m - f_i$ in Mx)	Factor of increase ( $f_m/f_i$ )
Unipolar appearance	$4.69 \times 10^{19}$	$9.69 \times 10^{19}$	$4.99 \times 10^{19}$	2.06
Splitting	$1.76 \times 10^{20}$	$2.12 \times 10^{20}$	$3.60 \times 10^{19}$	1.20
Merging	$1.64 \times 10^{20}$	$1.85 \times 10^{20}$	$2.20 \times 10^{19}$	1.31
Bipolar appearance	$3.85 \times 10^{18}$	$9.53 \times 10^{18}$	$5.67 \times 10^{18}$	2.47

lifetime, an example shown in Figure 3, then we consider only the largest one (the flux gained during the first peak in Figure 3), and neglect increases in flux contributing to smaller peaks such as the 2nd and 3rd peaks in Figure 3. Multiple peaks in the flux of a feature (shown in Figure 3) are rarely seen, as most features do not live long enough to display them (see LSA16).

Changes in the flux of a feature in the course of its lifetime can cause it to seemingly appear and disappear with time if its total flux is close to the threshold set in the study (given by a signal level twice the noise in at least 5 contiguous pixels). If it disappears and reappears again, then it will be counted twice. This introduces uncertainties in the measurement of FER. Uncertainties are discussed in Section 4.2.

## 4. RESULTS AND DISCUSSIONS

### 4.1. Flux emergence rate (FER)

In this work, we consider the results from the area ratio criterion 10:1 of LSA16. In that paper, the authors devise area ratio criteria (10:1, 5:1, 3:1 and 2:1) to avoid that a feature dies each and every time that a tiny feature breaks off, or merges with it. For example in a splitting event, the largest of the features formed by splitting must have an area less than  $n$  times the area of the second largest, under the  $n : 1$  area ratio criterion. We have verified that the choice of the area ratio criteria does not drastically alter the estimated FER, with variations being less than 10% for area ratios varying between 10:1 and 2:1.

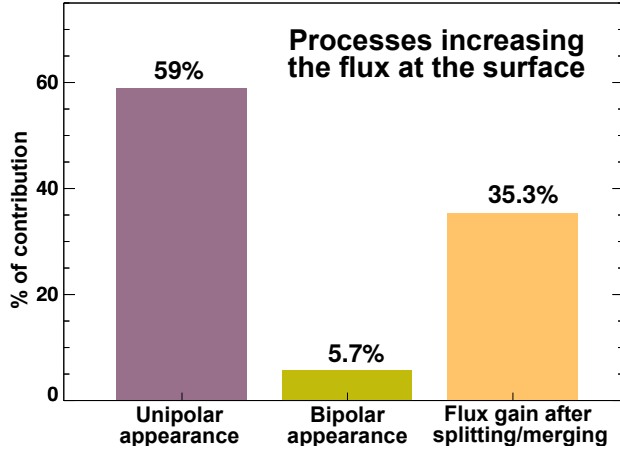
The instantaneous and maximum fluxes of the features in different processes are given in Tables 1 – 5 of LSA16. A summary is repeated in Table 1 for convenience, where fluxes are given for features born by the 4 processes listed in the first column. The instantaneous flux, in the second column, refers to the flux of a feature at its birth ( $f_i$  in Figure 2). In the third column is the maximum flux of a feature during its lifetime ( $f_m$  in Figure 2). The flux gain in the fourth column is the difference of the second and third columns ( $\Delta f = f_m - f_i$ ). In the fifth column is the factor by which the flux increases from its birth to its peak ( $f_m/f_i$ ). The fluxes given in this table are the sum total over all features in the entire time series, for each process.

To compute the FER, we add the fluxes from the various processes described in the previous section. For features born by appearance (unipolar and bipolar), we take the maximum flux of the features ( $f_m$ ) to be the fresh flux emerging at the surface. For the features formed by merging or splitting only the flux increase after the birth ( $\Delta f$ ) is considered. From the first two processes alone, the total flux brought to the surface is  $1.1 \times 10^{20}$  Mx over a FOV of  $43'' \times 43''$  in 22.5 minutes. This gives an FER of  $700 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . Including the flux gained by split/merged features increases the FER to  $1100 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . Figure 4 shows the contribution from each process to the total FER. The isolated features appearing on the solar surface contribute the largest, nearly 60%. Given that the emerging bipoles contain only 2% of the total observed flux (Table 5 in LSA16), they contribute only about 5.7% to the FER.

However, the flux brought to the solar surface by features born by splitting or merging, after their birth is  $5 \times 10^{19}$  Mx, which is quite significant and contributes  $\approx 35\%$  to the FER. The contribution to solar surface flux by this process is comparable to the flux brought to the surface by features born by unipolar appearance ( $9.7 \times 10^{19}$  Mx) and nearly an order of magnitude higher than the flux from features born by bipolar appearance ( $9.5 \times 10^{18}$  Mx).

Over their lifetimes, the features born by splitting and by merging gain 1.2 times their initial flux (i.e.,  $f_m = 1.2 \times f_i$ ). The fluxes gained by features born by appearance relative to their flux at birth is slightly higher ( $\approx 2$  times, i.e.,  $f_m = 2 \times f_i$ ). This is because the initial magnetic flux of the features born by appearance is quite low. The flux at birth of split or merged features, is already quite high because the parent features which undergo splitting or merging are at later stages in their lives (see Figure 2e). This is also evident from the fact that the average initial flux per feature of the features born by splitting or merging ( $2.9 \times 10^{16}$  Mx and  $7.4 \times 10^{16}$  Mx, respectively) is an order of magnitude higher than the average initial flux per feature of the appeared unipolar or bipolar features ( $5.4 \times 10^{15}$  Mx, see Table 2 of LSA16).

The fact that the small-scale magnetic features are the dominant source of fresh flux in the quiet photosphere



**Figure 4.** The percentage of contribution to the flux emergence rate (FER) from different processes bringing flux to the solar surface. In the case of unipolar and bipolar appearances, the maximum flux of the feature is used to determine the FER. For the features born by splitting/merging, the flux gained by them after birth is considered. This gain is the difference  $f_m - f_i$  in Figures 2c, 2d and 2e.

is discussed in several publications (Socas-Navarro & Sánchez Almeida 2002; de Wijn et al. 2009; Parnell et al. 2009; Thornton & Parnell 2011). Our results extend these earlier findings to lower flux per feature values. As shown in Figure 5, over the range  $10^{15} - 10^{18}$  Mx, nearly 65% of the detected features carry a flux  $\leq 10^{16}$  Mx (left panel). They are also the dominant contributors to the FER (right panel). In this figure, only the features that are born by unipolar and bipolar appearances are considered. Below  $2 \times 10^{15}$  Mx, we see a drop as we approach the sensitivity limit of the instrument.

#### 4.1.1. Flux loss rate

The flux is lost from the solar surface by disappearance, cancellation of opposite polarity features, and decrease in the flux of the features in the course of their evolution (i.e. the opposite process to the “flux gain” described earlier in Section 3). As seen from Tables 3 and 4 of LSA16, the increase in flux at the solar surface balances the loss of flux, as it obviously must if the total amount of flux is to remain unchanged. To compute the flux loss rate, we take the maximum flux of the features that die by cancellation and by disappearance to be the flux lost by them in the course of their lifetime and during disappearance or cancellation. For the features that die by splitting/merging we take the difference between the maximum flux of the features and the flux at their death as a measure of the flux lost during their lifetimes. By repeating the analyses for the 10:1 area ratio criterion, we find that the flux is lost from the solar surface at a rate of  $1150 \text{ Mx cm}^{-2} \text{ day}^{-1}$  which corresponds within 4.5% to the obtained FER. This agreement serves as a consistency check of the FER value that we find.

## 4.2. Uncertainties

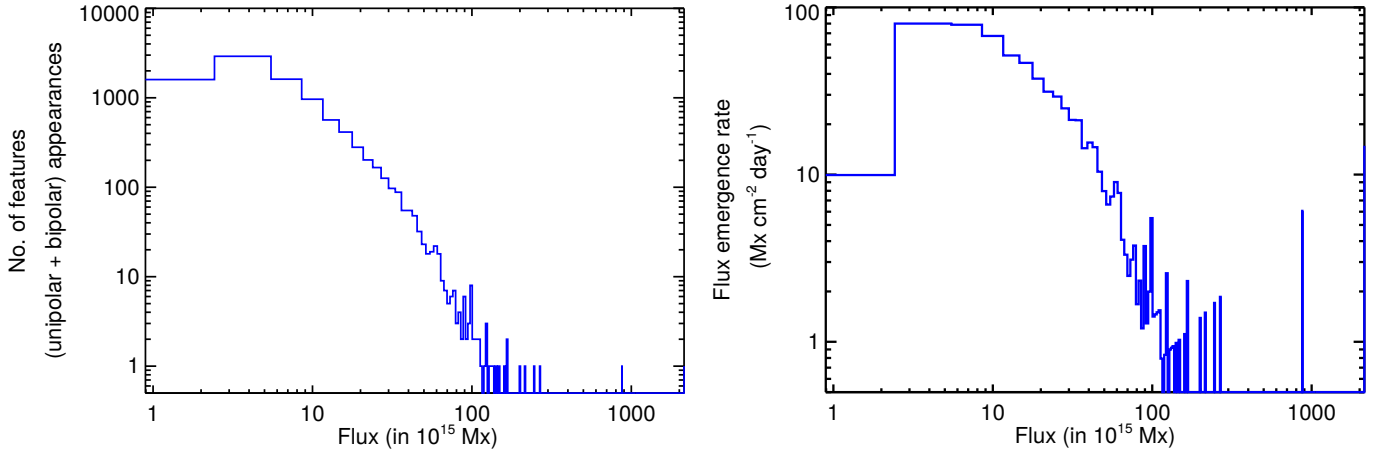
Although most of the uncertainties and ambiguities that arise during feature tracking have been carefully taken care of, as discussed in LSA16, some additional ones which can affect the estimated FER are addressed below.

In our computation of the FER, the features born before the time series began and the features still alive at the end are not considered. According to LSA16, the first and the last frames of the time series had 1019 and 1277 features, respectively. To estimate their contribution, we assume that the features still living at the end have a similar lifetime, size, flux distribution and formation mechanism as the total number of features studied. We attribute the appropriate average flux at birth and the average flux gain for features born by splitting, merging, unipolar and bipolar appearance. After including these additional fluxes, we get an FER of  $\approx 1150 \text{ Mx cm}^{-2} \text{ day}^{-1}$ , corresponding to a 4 – 5% increase. With this method, we are associating the features with flux gain than they might actually contribute (as many of them are likely to reach their maximum flux only after the end of the time series). This will be balanced out by not considering the features that are already alive at the beginning (also, it is impossible to determine the birth mechanism of these features).

Furthermore, in the analysis of LSA16, the features touching the spatial boundaries were not counted. An estimate of their contribution, in ways similar to the above, leads to a further increase of the FER by 5 – 6%. Thus combining the features in the first and last frames and the features touching spatial boundaries together increase the FER by  $\approx 10\%$ .

Meanwhile, as discussed in Section 3, in the case of flux gained after birth by features born from splitting or merging, we consider only the gain to reach the maximum flux in the feature and not the smaller gains required to reach secondary maximum of flux in the feature, if any (see Figure 3). These instances are quite rare. To estimate their contribution, we consider all features living for at least four minutes (eight time steps) so as to distinguish changes in flux from noise fluctuations. They constitute a small fraction of  $\approx 4\%$ . If all these features are assumed to show two maxima of equal strength, then they increase the FER by  $\approx 1.5\%$ . This is a generous estimate and both these assumptions are unlikely to be met. However this is balanced out by not considering the features that have more than two maxima. Thus the increase in FER is quite minor.

Additionally, some of the features identified in a given time frame could disappear, i.e. drop below the noise level, for the next couple of frames, only to reappear after that. This is unlikely to happen due to the thermal



**Figure 5.** *Left panel:* Histogram of the number of features born by unipolar and bipolar appearances, carrying fluxes in the range  $10^{15} - 10^{18}$  Mx. *Right panel:* The flux emergence rate from the features born by unipolar and bipolar appearances as a function of their flux.

or mechanical changes for the SUNRISE observatory, flying in a highly stable environment at float altitude, and with active thermal control of critical elements in the IMAx instrument. As mentioned in Section 3, the appearance and disappearance of features could also occur due to the applied threshold on the signal levels. In our analyses, the reappeared features are treated as newly appeared. This leads to a higher estimation of the FER. Gošić et al. (2016) have estimated that accounting for reappeared features decreases the FER by nearly 10%. If we assume the same amount of decrease in the FER from the reappeared features in our dataset, then we finally obtain an FER of  $1100 \text{ Mx cm}^{-2} \text{ day}^{-1}$ .

#### 4.3. Comparison with previous studies

Below, we compare our results from SUNRISE data with those from the *Hinode* observations analysed in three recent publications. Although all these papers use observations from the same instrument, they reach very different estimates of FERs. The important distinguishing factor between them is the method that is used to identify the magnetic features and to calculate the FER. For comparison we summarize the main result that we have obtained here. We find that in the quiet Sun (composed dominantly of the IN) the FER is  $1100 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . This corresponds to  $6.6 \times 10^{25} \text{ Mx day}^{-1}$  under the assumption that the whole Sun is as quiet as the very tranquil Sunrise/IMAx FOV.

According to Gošić et al. (2016), the flux appearance or emergence rate in the IN region is  $120 \text{ Mx cm}^{-2} \text{ day}^{-1}$ , which corresponds to  $3.7 \times 10^{24} \text{ Mx day}^{-1}$  over the whole surface and the contribution from the IN is assumed to be  $\approx 50\%$ . The authors track individual features and measure their fluxes, which is similar to the method used in LSA16. Their estimate is an order of magnitude lower than the FER obtained in the present paper. This difference can be ex-

plained by the higher spatial resolution of SUNRISE compared to *Hinode*. The isolated magnetic feature with the smallest flux detected in SUNRISE/IMAx data is  $9 \times 10^{14}$  Mx (see LSA16), which is nearly an order of magnitude smaller than the limit of  $6.5 \times 10^{15}$  Mx (M. Gošić, priv. comm.), underlying the analysis of Gošić et al. (2016). Additionally, the IMAx data are recorded with 33 s cadence, while the two data sets analysed by the above authors have cadences of 60 and 90 s each. A higher cadence helps in better tracking of the evolution of features and their fluxes. Also, a significant number of the very short-lived features that we find may have been missed by Gošić et al. (2016).

Thornton & Parnell (2011), also using *Hinode* observations, estimate the FER by fitting a power law to the distribution of frequency of emergence ( $\text{Mx}^{-1} \text{ cm}^{-2} \text{ day}^{-1}$ ) over a wide range of fluxes ( $10^{16} - 10^{22}$  Mx, which covers both, small-scale features as well as active regions). It is shown that a single power law index of -2.7 can fit the entire range. Depending on the different emergence detection methods used and described by these authors, such as Bipole Comparison (BC), Tracked Bipolar (TB) and Tracked Cluster (TC), the authors find a wide range of FERs from 32 to  $470 \text{ Mx cm}^{-2} \text{ day}^{-1}$  which correspond to  $2.0$  to  $28.7 \times 10^{24} \text{ Mx day}^{-1}$  over the whole solar surface (Table 2 of Thornton & Parnell 2011; Thornton 2011). To match their results from *Hinode* with other studies, the authors choose an FER of  $450 \text{ Mx cm}^{-2} \text{ day}^{-1}$ , from the higher end of the range (C. Parnell, priv. comm.). This is nearly four times higher than the value quoted in Gošić et al. (2016), who also used the *Hinode* observations and a smaller minimum flux per feature, so that they should in principle have caught more emerging features. However Thornton (2011), using a power law distribution similar to Thornton & Parnell (2011) and a slightly different index of -2.5, estimates an FER of  $64 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . A

possible reason for this difference, as briefly discussed in both these studies, could be the different feature tracking and identification methods used. In Thornton & Parnell (2011), all the features identified by BC, TB and TC methods are considered in determining the FER. According to the authors, the BC method counts the same feature multiple times and over-estimates the rate of flux emergence. However in Thornton (2011), only the features tracked by TB method are used. The large differences in the FERs from the three detection methods quoted in Table 2 of Thornton & Parnell (2011), supports this line of reasoning. The FER in Thornton (2011) is roughly half that found by Gošić et al. (2016) and hence is at least in qualitative agreement. The FER estimated by us is 2.5 times higher than the largest value obtained by Thornton & Parnell (2011) and 17 times higher than that of Thornton (2011).

Another recent estimate of the FER is by Zhou et al. (2013). Using *Hinode* observations, they estimate that the IN fields contribute up to  $3.8 \times 10^{26} \text{ Mx day}^{-1}$  to the solar surface. This is an order of magnitude higher than the global FER of  $3 \times 10^{25} \text{ Mx day}^{-1}$  published by Thornton & Parnell (2011) and is two orders of magnitude higher than the  $3.7 \times 10^{24} \text{ Mx day}^{-1}$  obtained by Gošić et al. (2016). In Zhou et al. (2013), it is assumed that every three minutes, the IN features replenish the flux at the solar surface with an average flux density of  $12.4 \text{ G}$  ( $\text{Mx cm}^{-2}$ ). Here, three minutes is taken as the average lifetime of the IN features (Zhou et al. 2010). Their FER is nearly six times higher than our estimate, although the lowest flux per feature to which *Hinode*/SOT is sensitive is significantly larger than for SUNRISE/IMaX (due to the lower spatial resolution of the former). To understand this difference, we applied the method of Zhou et al. (2013) to the SUNRISE/IMaX observations. From the entire time series, the total sum of the flux in all features with flux  $> 9 \times 10^{14} \text{ Mx}$  is  $1.1 \times 10^{21} \text{ Mx}$  over an area of  $3.9 \times 10^{20} \text{ cm}^2$ . This gives us an average flux density of  $2.8 \text{ G}$ , which is 4.5 times smaller than  $12.4 \text{ G}$  of Zhou et al. (2013). If the IN features are assumed to have an average lifetime of three minutes, similar to Zhou et al. (2013), then FER over the whole solar surface is  $8.2 \times 10^{25} \text{ Mx day}^{-1}$ . This is 1.2 times higher than our original estimate from the feature tracking method. If instead, we take the average lifetime of the features in our dataset from first appearance to final disappearance at the surface of  $\approx 1.8$  minutes, we get an FER of  $1.38 \times 10^{26} \text{ Mx day}^{-1}$ , nearly 1.9 times higher than our original estimate and still 2.8 times smaller than Zhou et al. (2013). This is longer than 1.1 minute quoted in LSA16, which includes death of a feature by splitting or merging (see LSA16), i.e. processes that do not remove flux from the solar surface.

To be sure that the problem does not lie in the COG

technique employed here, we also estimated the average flux density by considering the  $B_{\text{LOS}}$  from the recently available inversions of the SUNRISE data (Kahil et al. 2016). The  $B_{\text{LOS}}$  values returned by the inversions differ from those given by the COG technique by about 5% on average (individual pixels show much larger differences, of course), so that this cannot explain the difference to the value adopted by Zhou et al. (2013). If all the pixels, including noise, are considered then the average flux density is  $10.7 \text{ G}$ . This is an absolute upper limit of the average flux density as a large part of it is due to noise and it is still lower than the IN signal of  $12.4 \text{ G}$ , estimated by Zhou et al. (2013). Thus the high value of FER from Zhou et al. (2013) is at least partly due to their possibly too high value of average flux density. The observations analysed by these authors clearly show network and enhanced network features. If some of these are misidentified, then this would result in a higher average flux density. If this is indeed the case, then the estimate of the lifetime of 3 minutes may also be too short (the technique of Zhou et al. 2013, neglects any possible correlation between magnetic flux and lifetime of a feature). Although the amount of flux in IN fields is not expected to change significantly with time or place (see Buehler et al. 2013), this is not true for the amount of flux in the network, which changes significantly. E.g., another time series taken by SUNRISE during its first flight, having slightly more network in the FOV, is found to have an average  $B_{\text{LOS}}$  of around  $16 \text{ G}$  (including noise), which is higher than the  $12.4 \text{ G}$  used by Zhou et al. (2013). However, this is just a qualitative assessment and the very large FER found by Zhou et al. (2013) needs to be probed quantitatively in a future study.

## 5. CONCLUSIONS

In this paper, we have estimated the FER in the quiet Sun from the IN features using the observations from SUNRISE/IMaX recorded during its first science flight in 2009. We have included the contribution from features with fluxes in the range  $9 \times 10^{14} - 2.5 \times 10^{18} \text{ Mx}$ , whose evolution was followed directly. By accounting for the three important processes that bring flux to the solar surface: unipolar and bipolar appearances, and flux gained after birth by features born by splitting or merging over their lifetime, we estimate an FER of  $1100 \text{ Mx cm}^{-2} \text{ day}^{-1}$ . The third process is found to contribute significantly to the FER. The smaller features with fluxes  $\leq 10^{16} \text{ Mx}$  bring most of the flux to the surface. Since our studies include fluxes nearly an order of magnitude smaller than the smallest flux measured from the *Hinode* data, our FER is also an order of magnitude higher when compared to studies using a similar technique (i.e., Gošić et al. 2016). We compare also with



other estimates of the FER in the literature. [Thorn-ton & Parnell \(2011\)](#) obtained a range of values. Those near the lower end of the range (also quoted by [Thorn-ton 2011](#)), which are possibly the more reliable ones, are roughly consistent with the results of [Gošić et al. \(2016\)](#). The high FER of  $3.8 \times 10^{26} \text{ Mx day}^{-1}$  found by [Zhou et al. \(2013\)](#) is, however, difficult to reconcile with any other study. It is likely so high partly due to the excessively large  $B_{\text{LOS}}$  of IN fields of 12.4 G used by these authors, which is more than a factor of 4 times larger than the averaged  $B_{\text{LOS}}$  of 2.8 G that we find. Even the absolute upper limit of the spatially averaged  $B_{\text{LOS}}$  in our data (including noise) is below the value used by [Zhou et al. \(2013\)](#). We therefore expect that they have overestimated the FER.

There is clearly a need for further investigation, not only to quantify better the reasons for the different results obtained by different techniques. There are also still multiple open questions. What is the cause of the increase and decrease of the flux of a feature during its lifetime? Is this due to interaction with “hidden” flux? Is this hidden flux not visible because it is weak and thus below the noise threshold, or because it is structured at

very small scales, i.e. it is below the spatial resolution? How strongly does the “hidden” or missed flux change with changing spatial resolution? The most promising approach to answer these and related questions is to study the flux evolution in an MHD simulation that includes a working small-scale turbulent dynamo.

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